



COLORADO HEALTH CARE TRAINING & CONSULTING

Providing home health licensure training
and education.



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Objectives

- “ Describe at least 2 different types of surveys
- “ Name at least 3 components of the survey process
- “ Identify at least 2 items an inspector might evaluate during a home visit
- “ Name at least 3 items an inspector might evaluate when reviewing an agency’s quality management program

Objectives

- “ Understand how the surveyors/inspectors navigate the survey process and use that knowledge to prepare for survey and improve quality management activities.

THE SURVEYORS/INSPECTORS

Who Are They?

- “ Mixed Backgrounds: nursing, social work, case managers, APS, CPS
- “ Various levels of education
- “ Broad range of survey experience

The Inspectors' Goals

- “ Evaluate your compliance with the applicable rules/regulations by conducting a thorough investigation
- “ Complete this evaluation effectively and efficiently

SURVEY BASICS

Why Do Surveys Occur?

- “ Ensure compliance with CMS (Centers for Medicare and Medicaid Services) regulations.
 - . AKA: Appendix B or the conditions of participation (CoPs)
- “ Ensure compliance with Medicaid rules
 - . AKA: Volume 8
- “ Ensure compliance with state licensing rules
 - . AKA: Chapter 2 and Chapter 26

State Licensure Surveys

- “ All home care agencies in the state of Colorado must be licensed by the state
- “ Rollout began in June 2009 for medical agencies
- “ Rollout for non-medical agencies began in January 2010
- “ Rollout for QMP focused surveys began in January 2014
- “ Licensure rules similar to CMS and HCBS regulations

State Licensure Surveys

- “ Inspectors will gather information via survey components such as record review and home visits to determine if the agency is in compliance with the applicable rules/regulations.

State Licensure Surveys

- “ Agencies cited with deficient practice will be asked to formulate a plan of correction. Once that plan of correction is approved, the inspectors will conduct a revisit to evaluate the effectiveness of the plan of correction and to determine if the agency is back in compliance.

State Licensure Surveys

- “ Agencies who are found to be substantially out of compliance with state licensing rules could also result in actions against the agency’s license, aka enforcement actions.