

# Types of Surveys

- “ Initial licensure
- “ Relicensure
- “ Recertification (Medicare and HCBS)
- “ Change of ownership (CHOW)
- “ Complaints
- “ Revisit

# Initial Licensure/Certification (Medicaid)

- “ Abbreviated, scheduled survey
- “ Focus is on policies and procedures, personnel files, forms and the agency’s understanding of rules and regulations
- “ Agencies who were already certified were ‘grandfathered’ in

# Recertification/Relicensure

- “ Surveys are unannounced
- “ If not present, must return to agency
- “ Done simultaneously if CDPHE certified for Medicare/HCBS certified
- “ Paired with other surveys if applicable

# Recertification/Relicensure

- “ Survey cycle is variable, but approximately every 3 years
- “ However, surveys can always be sooner

# Complaints

- “ An unannounced abbreviated survey, unless paired with a recertification or relicensure survey
- “ Complaints can be filed by the patient/client, family member, another provider, a neighbor or friend, another government agency, CDPHE
- “ Complaints are triaged to determine need for immediate response

# Change of Ownership (CHOW)

- “ Transfer of at least 50% of the direct or indirect ownership interest to one or more new owners (Chapter 2, 2.7.2)
- “ Relicensure survey will be triggered
- “ Survey will occur weeks to months after CHOW occurred
- “ Purpose of survey is to ensure new ownership is in compliance with state licensing rules

# Revisit

- “ Agencies who are cited with deficient practice during any of the above surveys (initial, recertification/relicensure, complaint, CHOW) will have a revisit survey shortly after their plan of correction has been accepted.
- “ This survey is typically focused on the previously cited deficient practice and whether the agency has sufficiently addressed that deficient practice.